

## United States Patent Application

## DECLARATION UNDER 37 C.F.R. § 1.63

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING**

The specification of which

- a. ☒ is attached hereto.  
 b. ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_, which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.  
 b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999

Attorney Docket No. 230074.0230

Direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.  
Foley & Lardner  
2029 Century Park East - Suite 3500  
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name REDDING	First Given Name MARK	Second Given Name E.
0	Residence & Citizenship	City Newport Beach	State or Foreign Country California	Country of Citizenship United States of America
1	Post Office Address	Post Office Address 1132 Baypointe Dr	City Newport Beach	State & Zip Code/Country California 92660/U.S.A.
Signature of Inventor 201:			Date: 8/16/00	
2	Full Name Of Inventor	Family Name BADIA	First Given Name LOGAN	Second Given Name A.
0	Residence & Citizenship	City Fullerton	State or Foreign Country California	Country of Citizenship United States of America
2	Post Office Address	Post Office Address 3401 Puente St	City Fullerton	State & Zip Code/Country California 92835/U.S.A.
Signature of Inventor 202:			Date: August 14, 2000	
2	Full Name Of Inventor	Family Name HANDA	First Given Name SANDEEP	Second Given Name NMI
0	Residence & Citizenship	City New Delhi	India	Country of Citizenship India
3	Post Office Address	Post Office Address 26, Aroha Kunj, Sector-13, Rohini	City New Delhi	State & Zip Code/Country India
Signature of Inventor 203:			Date:	
2	Full Name Of Inventor	Family Name SHARMA	First Given Name HEMANT	Second Given Name NMI
0	Residence & Citizenship	City Lake Forest	State or Foreign Country California	Country of Citizenship India
4	Post Office Address	Post Office Address 26571 Normandale Drive	City Lake Forest	State & Zip Code/Country California 92630/U.S.A.
Signature of Inventor 204:			Date: August 14, 2000	

2	<b>Full Name Of Inventor</b>	<b>Family Name</b> CHOPRA	<b>First Given Name</b> SANJAY	<b>Second Given Name</b> NMI
0	<b>Residence &amp; Citizenship</b>	<b>City</b> New Delhi	<b>State or Foreign Country</b> India	<b>Country of Citizenship</b> India
5	<b>Post Office Address</b>	<b>Post Office Address</b> C/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	<b>City</b> New Delhi	<b>State &amp; Zip Code/Country</b> India
<b>Signature of Inventor 205:</b>			<b>Date:</b>	
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> GUPTA	<b>First Given Name</b> RANA	<b>Second Given Name</b> NMI
0	<b>Residence &amp; Citizenship</b>	<b>City</b> Haryana	<b>State or Foreign Country</b> India	<b>Country of Citizenship</b> India
6	<b>Post Office Address</b>	<b>Post Office Address</b> 801, Sector-17, Gurgaon	<b>City</b> Haryana	<b>State &amp; Zip Code/Country</b> India
<b>Signature of Inventor 206:</b>			<b>Date:</b>	
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> LAL	<b>First Given Name</b> POONAM	<b>Second Given Name</b> NMI
0	<b>Residence &amp; Citizenship</b>	<b>City</b> Haryana	<b>State or Foreign Country</b> India	<b>Country of Citizenship</b> India
7	<b>Post Office Address</b>	<b>Post Office Address</b> B-34B, DLF, Sector-14, Gurgaon	<b>City</b> Haryana	<b>State &amp; Zip Code/Country</b> India
<b>Signature of Inventor 207:</b>			<b>Date:</b>	
2	<b>Full Name Of Inventor</b>	<b>Family Name</b>	<b>First Given Name</b>	<b>Second Given Name</b>
0	<b>Residence &amp; Citizenship</b>	<b>City</b>	<b>State or Foreign Country</b>	<b>Country of Citizenship</b>
8	<b>Post Office Address</b>	<b>Post Office Address</b>	<b>City</b>	<b>State &amp; Zip Code/Country</b>
<b>Signature of Inventor 208:</b>			<b>Date:</b>	

### § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim, or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

005280 20584960

## United States Patent Application

**DECLARATION UNDER 37 C.F.R. § 1.63**

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING**

The specification of which

- a. ☒ is attached hereto.  
 b. ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_, which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.  
 b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999

Aug-22-00 03:22P

9 11 6107257

P.01

Adm. Glen Noron  
 from: David / Admin

Attorney Docket No. 230074.0230

Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.  
 Foley & Lardner  
 2029 Century Park East - Suite 3500  
 Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name REDDING	First Given Name MARK	Second Given Name E
0	Residence & Citizenship	City Newport Beach	State or Foreign Country California	Country of Citizenship United States of America
	Post Office Address	Post Office Address 1132 Baypointe Dr.	City Newport Beach	State & Zip Code/Country California 92660/U.S.A.
Signature of Inventor 201:				Date:
2	Full Name Of Inventor	Family Name RADIA	First Given Name LOGAN	Second Given Name A.
0	Residence & Citizenship	City Fullerton	State or Foreign Country California	Country of Citizenship United States of America
2	Post Office Address	Post Office Address 3401 Puente St.	City Fullerton	State & Zip Code/Country California 92835/U.S.A.
Signature of Inventor 202:				Date:
2	Full Name Of Inventor	Family Name HANDA	First Given Name SANDEEP	Second Given Name NMI
0	Residence & Citizenship	City New Delhi	India	Country of Citizenship India
3	Post Office Address	Post Office Address 26, Aruba Kunj, Sector-13, Rohini	City New Delhi	State & Zip Code/Country India
Signature of Inventor 203: sandeep handa				Date: 08/22/2000
2	Full Name Of Inventor	Family Name SHARMA	First Given Name HEMANT	Second Given Name NMI
0	Residence & Citizenship	City Lake Forest	State or Foreign Country California	Country of Citizenship India
4	Post Office Address	Post Office Address 26571 Normandale Drive	City Lake Forest	State & Zip Code/Country California 92630/U.S.A.
Signature of Inventor 204:				Date:

2	Full Name Of Inventor	Family Name CHOPRA	First Given Name SANJAY	Second Given Name NMI
0	Residence & Citizenship	City New Delhi	State or Foreign Country India	Country of Citizenship India
5	Post Office Address	Post Office Address C/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	City New Delhi	State & Zip Code/Country India
Signature of Inventor 205:			Date:	
2	Full Name Of Inventor	Family Name GUPTA	First Given Name RANA	Second Given Name NMI
0	Residence & Citizenship	City Haryana	State or Foreign Country India	Country of Citizenship India
6	Post Office Address	Post Office Address 801, Sector-17, Gurgaon	City Haryana	State & Zip Code/Country India
Signature of Inventor 206:			Date:	
2	Full Name Of Inventor	Family Name LAL	First Given Name POONAM	Second Given Name NMI
0	Residence & Citizenship	City Haryana	State or Foreign Country India	Country of Citizenship India
7	Post Office Address	Post Office Address B-34B, DLF, Sector-14, Gurgaon	City Haryana	State & Zip Code/Country India
Signature of Inventor 207:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
8	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 208:			Date:	



## § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
  - or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

005280 20584960

## United States Patent Application

**DECLARATION UNDER 37 C.F.R. § 1.63**

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING**

The specification of which

- a. ☒ is attached hereto.  
 b. ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_, which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.  
 b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/152,785	September 3, 1999

Please direct all correspondence in this case to Ted R. Rittmaster, Esq. at the address indicated below:

Ted R. Rittmaster, Esq.  
Foley & Lardner  
2029 Century Park East – Suite 3500  
Los Angeles, CA 90067-3021

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	<b>Full Name Of Inventor</b>	<b>Family Name</b> REDDING	<b>First Given Name</b> MARK	<b>Second Given Name</b> E.
0	<b>Residence &amp; Citizenship</b>	<b>City</b> Newport Beach	<b>State or Foreign Country</b> California	<b>Country of Citizenship</b> United States of America
1	<b>Post Office Address</b>	<b>Post Office Address</b> 1132 Baypointe Dr.	<b>City</b> Newport Beach	<b>State &amp; Zip Code/Country</b> California 92660/U.S.A.
<b>Signature of Inventor 201:</b>				<b>Date:</b>
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> BADIA	<b>First Given Name</b> LOGAN	<b>Second Given Name</b> A.
0	<b>Residence &amp; Citizenship</b>	<b>City</b> Fullerton	<b>State or Foreign Country</b> California	<b>Country of Citizenship</b> United States of America
2	<b>Post Office Address</b>	<b>Post Office Address</b> 3401 Puente St.	<b>City</b> Fullerton	<b>State &amp; Zip Code/Country</b> California 92835/U.S.A.
<b>Signature of Inventor 202:</b>				<b>Date:</b>
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> HANDA	<b>First Given Name</b> SANDEEP	<b>Second Given Name</b> NMI
0	<b>Residence &amp; Citizenship</b>	<b>City</b> New Delhi	<b>India</b>	<b>Country of Citizenship</b> India
3	<b>Post Office Address</b>	<b>Post Office Address</b> 26, Aroha Kunj, Sector-13, Rohini	<b>City</b> New Delhi	<b>State &amp; Zip Code/Country</b> India
<b>Signature of Inventor 203:</b>				<b>Date:</b>
2	<b>Full Name Of Inventor</b>	<b>Family Name</b> SHARMA	<b>First Given Name</b> HEMANT	<b>Second Given Name</b> NMI
0	<b>Residence &amp; Citizenship</b>	<b>City</b> Lake Forest	<b>State or Foreign Country</b> California	<b>Country of Citizenship</b> India
4	<b>Post Office Address</b>	<b>Post Office Address</b> 26571 Normandale Drive	<b>City</b> Lake Forest	<b>State &amp; Zip Code/Country</b> California 92630/U.S.A.
<b>Signature of Inventor 204:</b>				<b>Date:</b>

Aug-22-00 03:23P

11 6107257

P.02

Attorney Docket No. 230074.0230

2	Full Name Of Inventor	Family Name CHOPRA	First Given Name SANJAY	Second Given Name NMI
0	Residence & Citizenship	City New Delhi	State or Foreign Country India	Country of Citizenship India
3	Post Office Address	Post Office Address C/o Viman Software India Pvt. Ltd., QF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate	City New Delhi	State & Zip Code/Country India
Signature of Inventor 205: <i>[Signature]</i>			Date: 08/22/2000	
2	Full Name Of Inventor	Family Name GUPTA	First Given Name RANA	Second Given Name NMI
0	Residence & Citizenship	City Haryana	State or Foreign Country India	Country of Citizenship India
6	Post Office Address	Post Office Address 801, Sector-17, Gurgaon	City Haryana	State & Zip Code/Country India
Signature of Inventor 206: <i>[Signature]</i>			Date: 08-22-2000	
2	Full Name Of Inventor	Family Name LAL	First Given Name POONAM	Second Given Name NMI
0	Residence & Citizenship	City Haryana	State or Foreign Country India	Country of Citizenship India
7	Post Office Address	Post Office Address A-34B, DLF, Sector-14, Gurgaon	City Haryana	State & Zip Code/Country India
Signature of Inventor 207: <i>[Signature]</i>			Date: 08-22-2000	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
8	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 208:			Date:	

015.446988.1

-3-

AUG-22-2000 03:40

91 11 6107257

93%

P.02

### § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
- or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

005280" 20584960

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Mark Redding et al.	Examiner:	Unassigned
Serial No.:	Unassigned	Group Art Unit:	Unassigned
Filed:	Concurrently herewith	Docket No.:	230074.0230
Title:	LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING		

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR UNDER RULE 37 CFR § 3.71**

The undersigned, Walter Straub, is a representative authorized to sign on behalf of the assignee of the entire interest in the above-identified subject application, RAINBOW TECHNOLOGIES, INC., and hereby appoints:

To:	Foley & Lardner	David A. Blumenthal	Reg. No. 26,257
		Ted R. Rittmaster	Reg. No. 32,933
		Ronald Coslick	Reg. No. 36,489
		Glenn M. Kubota	Reg. No. 44,197

all of the firm of Foley & Lardner, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventor and his attorney in accordance with the provisions of Rule 32 of the Patent Office Rules of Practice.

RAINBOW TECHNOLOGIES, INC., per 37 C.F.R. § 3.73(b), certifies that the evidentiary documents with respect to its ownership have been reviewed and that to the best of the undersigned's knowledge and belief, title is in the assignee seeking this action.

RAINBOW TECHNOLOGIES, INC., declares that 100% ownership is established by the assignment filed for recordation herewith, a copy of which is attached.

Please direct all telephone calls to 310-277-2223 and all correspondence relative to said application to the following address:

Ted R. Rittmaster  
FOLEY & LARDNER  
Suite 3500  
2029 Century Park East  
Los Angeles, CA 90067-3021

ASSIGNEE: RAINBOW TECHNOLOGIES, INC.

Signature:	<u>Walter Straub</u>
Typed Name:	<u>Walter Straub</u>
Title:	<u>Chief Executive Officer</u>
Address:	<u>50 Technology Drive</u>
	<u>Irvine, CA 92618</u>

Date: August 15, 2000



RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) Mark Redding
- (2) Logan Badia
- (3) Sandeep Handa
- (4) Hemant Sharma
- (5) Sanjay Chopra
- (6) Rana Gupta
- (7) Poonam Lal

2. Name and address of receiving party(ies):

Rainbow Technologies, Inc.  
50 Technology Drive  
Irvine, California 92618



Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: (1) 08/16/00; (2) 08/14/00;  
(3) 08/22/00; (4) 08/22/00; (5) 08/22/00; (6) 08/22/00;  
(7) 08/22/00.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
(1) 08/16/00; (2) 08/14/00; (3) 08/22/00; (4) 08/14/00; (5) 08/22/00; (6) 08/22/00; (7) 08/22/00.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ted R. Rittmaster  
Address: Foley & Lardner  
2029 Century Park East  
Suite 3500  
Los Angeles, CA 90067-3021

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed.  
☐ Authorized to be charged to deposit account.

8. Please charge any additional fees or credit any overpayments to our Deposit account number:  
50-0872

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenn M. Kubota  
Name of Person Signing

Signature

August 25, 2000  
Date

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Total number of pages including cover sheet, attachments, and document: 13

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

## ASSIGNMENT

WHEREAS, we, Mark E. Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan A. Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Rana Gupta, residing at 801, Sector-17, Gurgaon, Haryana, India; and Poonam Lal, residing at B-34B, DLF, Sector-14, Gurgaon, Haryana, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

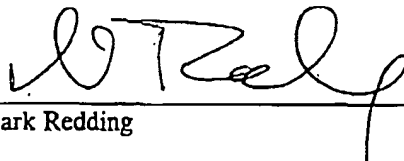
Attorney Docket No. 230074.0230

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

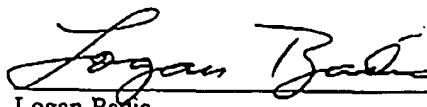
AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 16<sup>th</sup> day of August, 2000.

  
Mark Redding

IN TESTIMONY WHEREOF, I have hereunto set my hand this 14<sup>th</sup> day of August, 2000.

  
Logan Badia

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Sandeep Handa

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Hemant Sharma

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Sanjay Chopra

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Rana Gupta

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Poonam Lal

## ASSIGNMENT

WHEREAS, we, Mark E. Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan A. Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Rana Gupta, residing at 801, Sector-17, Gurgaon, Haryana, India; and Poonam Lal, residing at B-34B, DLF, Sector-14, Gurgaon, Haryana, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Mark Redding

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Logan Badia

Aug-22-00 03:23P

91 11 6107257

P.05

Attorney Docket No. 230074.0230

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22<sup>nd</sup> day ofAugust, 2000.Sandeep Handa

Sandeep Handa

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22 day ofAugust, 2000.Hemant Sharma

Hemant Sharma

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22<sup>nd</sup> day ofAugust, 2000.Sanjay Chopra

Sanjay Chopra

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22 day ofAugust, 2000.Rana Gupta

Rana Gupta



IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Poonam Lal

## ASSIGNMENT

WHEREAS, we, Mark E. Redding, residing at 1132 Baypointe Dr., Newport Beach, California 92660; Logan A. Badia, residing at 3401 Puente St., Fullerton, California 92835; Sandeep Handa, residing at 26, Aroha Kunj, Sector-13, Rohini, New Delhi, India; Hemant Sharma, residing at 26571 Normandale Drive, Lake Forest, California 92630; Sanjay Chopra, residing at c/o Viman Software India Pvt. Ltd., GF, STP, NSIC Complex, NSIC Bhawan, Okhla Industrial Estate, New Delhi, India; Rana Gupta, residing at 801, Sector-17, Gurgaon, Haryana, India; and Poonam Lal, residing at B-34B, DLF, Sector-14, Gurgaon, Haryana, India, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on same date herewith, which is entitled LICENSE MANAGEMENT SYSTEM AND METHOD FOR COMMUTER LICENSING;

AND WHEREAS, Rainbow Technologies, Inc., a corporation organized and existing under and by virtue of the laws of the State of California, and having an office and place of business at 50 Technology Drive, Irvine, California 92618 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Mark Redding

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2000.

\_\_\_\_\_  
Logan Badia

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Sandeep Handa

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Hemant Sharma

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Sanjay Chopra

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

\_\_\_\_\_  
Rana Gupta

Aug-22-00 03:25P

91 11 6107257

P.16

Attorney Docket No. 230074.0227

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of  
\_\_\_\_\_, 2000.

Aug-22-00 03:23P

91 11 6107257

P.06

Attorney Docket No. 230074.0230

IN TESTIMONY WHEREOF, I have hereunto set my hand this 22<sup>nd</sup> day of

August, 2000.

Poonam Lal

Poonam Lal